

Thermo-mechanical properties and blend behaviour of cellulose acetate/lactates and acid systems: Natural-based plasticizers

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1	Thermo-mechanical properties and blend behaviour of cellulose
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3	
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17 **ABSTRACT**

This work brings together thermo-mechanical and structural information for plasticized cellulose 18 acetate (CA) by lactates and octanoic acid. CA are processed with plasticizer due to their high Tg and 19 20 their strong H-bonding network. We prepared CA / plasticizer blends by corotative twin screw 21 extruder and by solvent casting methods. The study of the different relaxations and of the glassy 22 zone modulus was performed by dynamic mechanical analysis (DMA). The miscibility range of cellulose acetate blends were identified by the analysis of the tan δ . Depending on the composition 23 of the system, one or two transitions are noted, this last result indicates the presence of a phase rich 24 25 in CA and another in plasticizer. To connect this information to crystallinity and molecular organization, X-ray diffraction analyses were carried out. The disappearance of crystallinity allows the 26 27 plasticization of previously inaccessible zones, causing a glassy modulus drop of more than 1000 MPa. 28

Keywords: Cellulose acetate, plasticization, miscibility, thermo-mechanical properties, X-ray
 diffraction.

31 **1. Introduction**

In recent years, the interest in biopolymers has been increasing continuously. Cellulose acetate is a 32 33 biobased and biodegradable polymer manufactured from cellulose, which is one of the most 34 abundant renewable organic resources on Earth, and excess of acetic anhydride (Wu et al., 2004). A 35 part of the hydroxyl groups is converted to acetyl groups using esterification reactions. The most 36 common degree of substitution (DS) is 2.5 partly due to melting properties (Kamide & Saito, 1985). 37 To improve flexibility and processability by lowering the glass-transition, cellulose diacetate is blended with plasticizers (Lee et al., 2005; Vieira, da Silva, dos Santos, & Beppu, 2011; Wypych, 38 39 2012).

In the literature, there are many studies on thermal and mechanical properties of plasticized
cellulose acetate. Samples are especially characterized by dynamic mechanical analysis and
differential scanning calorimetry.(Bao, Long, & Vergelati, 2015; Kawai & Hagura, 2012; Lee et al.,
2005; McBrierty, Keely, Coyle, Xu, & Vij, 1996; Scandola & Ceccorulli, 1985a; Seymour, Weinhold, &
Haynes, 1979; Vidéki, Klébert, & Pukánszky, 2007).

Over the past few years, the worldwide production of plasticizers has been around 5 million tons per year. They were used in about 60 different polymers and 30 groups of products (Białecka-Florjańczyk Florjańczyk, 2007). Modifying polymers by the addition of plasticizers is not a recent practice in the manufacturing of plastic products. Their use began in the late 19th century. Plasticizers represent about one third of the global market of plastic additives (Rahman & Brazel, 2004).

The main plasticizers used to plasticize cellulose acetate are triacetin (food packaging & cigarette filters and other), diethyl phthalate (glasses & adhesive tape and other), triphenyl phosphate (photographic films and other) among others (Wypych, 2017). Triacetin and diethyl phthalate are among the best plasticizers of cellulose acetate due to their high boiling points (respectively 260 and 298 °C). This reduces the plasticizer weight loss during the mixing process (Quintana, Persenaire, Lemmouchi, Bonnaud, & Dubois, 2014). It should be noted though that some of the commonly used plasticizers are not eco-friendly.

There is an increasing interest for natural based plasticizers to develop new bio-based materials made from biodegradable and renewable resources. It seems to be more appropriate to use natural based plasticizers to manufacture biopolymers (Vieira et al., 2011). Lactates are esters formed from lactic acid (Pereira, Silva, & Rodrigues, 2011), and octanoic acid (OA) is a fatty acid mainly found in edible oils and milk of various species (Lizhi, Toyoda, & Ihara, 2008; Nair et al., 2005). They are natural solvents so they could be named green solvents. They have higher boiling points than other solvents which come from biomass. Ethyl lactate (ELL) and butyl lactate (BL) are particularly

- 64 attractive due to their lower vapour pressures than some traditional solvents: they have a lower VOC
- 65 (volatile organic carbon) character. (Lomba, Giner, Zuriaga, Gascón, & Lafuente, 2014)

In this study, lactates and octanoic acid was used as external plasticizers of cellulose acetate to decrease the Tg and suppress the high degree of crystallinity of CA. The objectives of this work are to study the effect of these eco-friendly additives on thermo-mechanical, structural properties and morphologies of CA materials.

70 2. Materials & methods

71 2.1 Materials

CA was supplied by Acetow GmbH (Freiburg, Germany). The DS of CA was 2.45, i.e. combined acetic acid ≈ 55.63 %. Ethyl lactate (ELL, ≥ 98 %, food grade CAS No.: 687-47-8), butyl lactate, ≥ 97 %, food grade, CAS No.: 34451-19-9) and octanoic acid (OA, ≥ 98 %, food grade, CAS No.: 124-07-2) were purchased from SIGMA-ALDRICH.

¹H NMR analysis solvent acetone-d6 and pyrrole (purity \ge 99%) were both supplied by Acros Organics.

78

79 2.2 Experimental procedure

80 2.2.1 Preparation of CA / plasticizer samples

In this study, two types of processing to prepare plasticized cellulose acetate were used due to the wide range of plasticizer rates under study. In fact, the extrusion process is impossible for blends containing less than 15 wt% of plasticizers due to the very low plasticization and also for blends with more than 40 wt% of plasticizers due to their very low viscosity at high temperature. So, solvent cast method was used for mixtures without or with very small amount of plasticizers and for mixtures with up to 70 wt% of plasticizers.

A corotative twin-screw extruder Clextral BC 21 was used to prepare compounds composed of 15 to 40 wt% of plasticizer, with a screw speed of 100rpm at a feeding rate of 2 kg/h. Cellulose acetate powder, dried for 72 h under vacuum at 70 °C, was inserted in zone 1 using a weight-feeder. A liquid plasticizer was injected by a volumetric pump in zone 2. The extruder was connected to a water cooling bath and a granulator.

For samples plasticized between 0 and 70 wt%, 2 g of CA / plasticizer mixtures were dissolved in 20
 mL of acetone. The solutions were placed 24h under stirring and then poured into an evaporation

94 bell, composed of a PTFE mold closed with a perforated glass lid on the top, at room temperature95 during 150 h.

96 2.2.2 NMR Spectroscopic Measurements

¹H NMR analyses were performed by a Bruker Advance III spectrometer working at 400 MHz equipped with a 5 mm multinuclear broadband probe (BBFO+) with z-gradient coil. The solute concentration was 60 to 80 mg.mL⁻¹ in acetone d6. The analysis was performed at a 298 K temperature. To analyse and quantify internal plasticization of CA, 1/3 of the solute weight was added in pyrrol.

The amount of plasticizer was analyzed by NMR because the target concentration of a blend was not
 equal to the real concentration, in particular for the mixtures obtained by an extrusion process.

104 2.2.3 Dynamical mechanical analysis (DMA)

105 The dynamical mechanical analysis was carried out by means of a dynamical mechanical thermal 106 analyser Q800 (TA instruments). A wide range of temperatures (-100 °C to +220 °C) were 107 investigated. A constant heating rate of 2 °C.min⁻¹ and frequencies of 1 Hz were used. Transition 108 temperatures were determined using the inflexion point of the storage modulus curve and the glassy 109 zone modulus was determined using the minimum of tangent δ according to *C. Liu et al.* (Liu, He, 110 Ruymbeke, Keunings, & Bailly, 2006). CA/plasticizer samples were preconditioned at 30 % of relative 111 humidity for 60 h before thermo-mechanical analysis.

112 2.2.4 Small-angle X-ray scattering (SAXS) and Wide-angle X-ray scattering (WAXS)

SAXS/WAXS experiments were carried out at the European Synchrotron Radiation Facility (ESRF) in 113 114 Grenoble (France) on the BM2-D2AM beamline. The incident photon energy was set to 18 keV. Samples with a thickness between 800 and 1000 µm were directly placed in the beam path. The SAXS 115 116 and the WAXS signals were detected simultaneously using two 2D XPad solid state detectors. For 117 SAXS acquisition, the sample-to-detector distance was set at about 1.15 m and a beam stop with a 118 diameter of 2 mm was used. For WAXS acquisition, the sample-to-detector distance was set at 10 119 cm. The q-calibration (q = $4\pi \sin(\theta)/\lambda$; 2 θ : scattering angle) was achieved using a silver behenate powder standard for SAXS and a chromium(III) oxide (Cr₂O₃) powder standard for WAXS. The 120 121 scattering contribution of air was subtracted from the scattering intensity of the samples. The image 122 data treatments took into account the flat field response. The intensity was further normalized by 123 the incident flux, and the sample thickness and I-calibration were achieved with a glassy carbon 124 standard. The scattering profiles of the intensity I as a function of the scattering vector q were 125 obtained by azimuthally averaging the corrected images.

126 2.2.5 Scanning electron microscope (SEM)

127 The morphology of the samples was observed by a scanning electron microscope (Hitachi S 3000 N) 128 with a tungsten filament and high vacuum operated at 10 kV. The surface of samples was prepared 129 with a cryo-ultramicrotome RMC MTXL. All the samples were gold-palladium-coated by sputtering to 130 produce electrical conductivity.

131

132 **3. Results and discussion**

133 3.1 Thermal properties of systems

Based on a dynamical mechanical analysis, we identify the effect of lactates and octanoic acid used as external plasticizers on relaxation peaks. DMA spectra of samples containing 5 to 70 wt% of plasticizer were compared to one another.

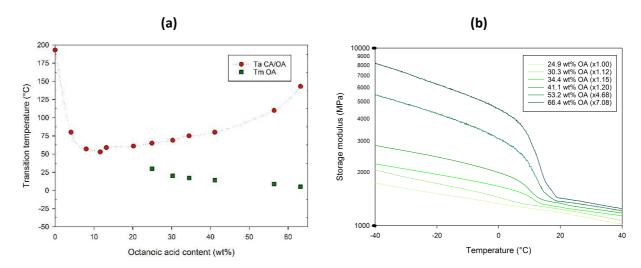


Figure 1: (a) Transition temperatures of CA/OA blends, according to the real plasticizers content. (b) Storage modulus measured at 1Hz according to temperature for CA mixtures containing different OA real content.

137

Thermal properties of CA / OA tested samples were investigated by DMA. Results were presented in Fig. 1a. With low amounts of octanoic acid as plasticizer, a decrease in α relaxation temperature could be observed, which is considered as the glass transition temperature of the system. The Tg decreased around 50°C with less than 10 wt% of OA. At low rate, OA was a very good plasticizer of the CA system. With plasticizer rates higher than 25 wt%, the Tg of the blends CA / OA shifted to high temperatures.

According to storage modulus curves (Fig. 1b), all the cellulose acetate blends containing between 25 to 66 wt% of OA remained unmixed. In fact, all of them presented a drop around 17 °C which increase with the OA content. This drop can be attributed to the melting temperature of pure OA 147 (Inoue, Hisatsugu, Suzuki, Wang, & Zheng, 2004), indicating that, a phase separation occured in the 148 blend (between CA and OA). The extent of the drop around 17 °C increases with the OA content. The 149 quantity of OA that has demixed, which does not plasticize CA chains, increased. It seemed that after a definite composition, between 20 wt% and 25 wt%, octanoic acid which was added to the mixture 150 151 led to the formation of a two-phase system with an OA-pure phase. The increase of Tg for increasing 152 OA content suggests that some of the OA which plasticized CA at lower concentration could migrate 153 to the OA -pure phase. That is to say, additional acid had more affinity with the pure OA phase than 154 with CA / OA phase, and as consequence, less octanoic acid was available for the plasticization of CA 155 chains.

- 156 This demixing phenomenon of octanoic acid could be confirmed by SEM (Fig. 2). The sample 157 containing about 20 wt% of octanoic acid had a completely homogeneous surface, while the sample
- 158 containing 40 wt% of OA shows a porous structure.

(a) (b)

Figure 2 : Scanning electron micrographs X 10 000 from the prepared surfaces of cellulose acetate blends containing (a) 20.2 wt% of OA and (b) 41.1 wt% of OA.

Fig. 3 show the variation of the Tg of CA / lactates blends obtained by DMA at a frequency of 1 Hz as a function of the lactates content, for CA / BL and CA / ELL blends respectively. On these graphs, the experimentalvalues of the glass transition temperature could be fitted by the Fox equation (Fox, 1956).

$$1/T_{g,b} = \sum w_i / T_{g,i} \tag{1}$$

163

Where T_{g,b} and T_{g,i} are respectively the glass transition temperature of the blend and of the
 components (CA and plasticizers), and w_i is the mass fraction of component i.

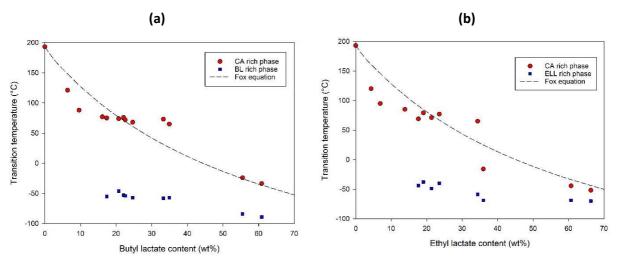
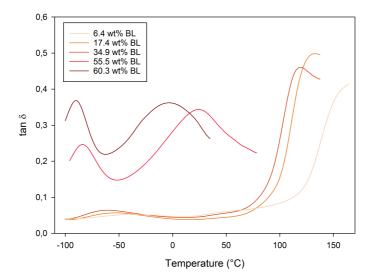


Figure 3 : Transition temperatures of (a) CA/BL blends (b) CA/ELL blends, according to the real plasticizers content.



167



169

Figure 4: Tan δ measured at 1Hz according to temperature for CA mixtures containing different real BL content.

- 170
- 171

172 By measuring the glass transition temperature by DMA, it was possible to make a first 173 characterization of the miscibility range of cellulose acetate / lactates blends.

174 It can clearly be seen that for lactates content up to 20 wt %, a single transition is found, which 175 suggests that the mixture is monophasic and that its components are completely miscible. This 176 transition corresponds to the glass transition of the blend which decreased first, with the increasing 177 plasticizer contents. The reduction of Tg of cellulose acetate related to the effect of external 178 plasticization has already been shown (Liu et al., 2006; Vidéki et al., 2007). 179 For lactates contents higher than 20 wt %, a second transition was found at lower temperature. It 180 was pointed out that two phases of CA / plasticizer mixtures could be observed. The amplitude of 181 this second relaxation increased with plasticizers rate (Fig. 4). It was possible to differentiate it from 182 cellulose acetate β secondary relaxation, because it shifted to lower temperatures, thus introducing 183 the concept of rich phases in cellulose acetate and rich phases in plasticizer (Bao et al., 2015; Kawai & Hagura, 2012). Other descriptions are found in the literature, assuming a complete and 184 185 homogeneous miscibility of the plasticizer in the matrix. The secondary relaxation of the mixture 186 would be due to specific polymer/plasticizer interactions (Seymour et al., 1979) or be produced by 187 diluted chain movements in the plasticizer (Scandola & Ceccorulli, 1985b).

When it was possible to observe the relaxation of the plasticizer rich phase, a plateau around 75 °C for the relaxation of rich CA phase occured. In other words, the transition temperature of this phase no longer decreased. For these blends compositions, values are not predicted correctly by Fox modelling.

Subsequently, with increasing amounts of lactates, experimental transitions got closer to the valuesobtained by the Fox equation. The two relaxations then had an equivalent intensity.

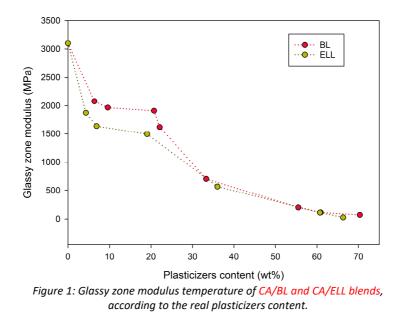
194 The Fox equation assumes no specific interactions between components of the mixture, such as 195 hydrogen bonds (which preponderate in CA/lactates blends). The observed deviations can be also 196 explained by this fact.

197

198 3.2 Thermo-mechanical properties of systems

Dynamical mechanical analysis of CA / lactate blends also allowed studying the glassy zone modulusas a function of plasticizers rate. It was summarized in Fig. 5.

201 For blending compositions with 0 to 10 wt% of plasticizer in which there was a decrease in α



transition, a drop of the glassy zone modulus plateau was also observed. For concentrations of
plasticizers above 10 wt%, composition at which a plasticizer rich phase appears, the glassy zone
modulus stabilizes. Above20 wt% of plasticizers, a second modulus drop appeared. According to Fig.
7 crystallinity vanished at the same rate. A second modulus plateau was perceived next to 50 wt%.
This last one could be analysed as cellulose acetate chains diluted in lactates, which were then a
major part in the blend.

3.3 Effect of plasticizer on the crystallinity and molecular organization.

It is known that cellulose acetate is a partially crystalline polymer and transition from the glassy to the rubbery state is due to amorphous fractions of polymers. Scandola M. and al. (Scandola & Ceccorulli, 1985b) have hypothesized that small amounts of plasticizers only solvate the amorphous regions. To solvate the crystalline fraction of the polymer, higher amounts need to be used. They have also assumed that the whole sample could be uniformly diluted, owing to complete solvation of the crystalline fraction.

According to this, they hypothesized that if cellulose acetate retained its crystallinity after adding a small content of plasticizer, the composition of amorphous regions of cellulose acetate should be different from the nominal composition of the mixture. Given that it is amorphous regions that influence the glass transition of the blend, this may be an additional explanation to the difference with theoretical Tg values predicted by Fox equation.

The effect of plasticizers on the degree of crystallinity of cellulose acetate is briefly discussed in this section. Fig. 6 and 7 show X-ray diffraction profiles at small and wide angles of samples prepared via solvent casting method and give additional information on plasticizing effects.

- 223 The presence of periodic structures generates scattering peaks; abscissa of these peaks correspond
- with the average inter-domain distance also called *d*-spacing.
- 225 It can be described by:

$$d = \frac{2\pi}{q^*} \tag{2}$$

where q* is the q value corresponding to the maximum intensity in Å⁻¹ and d is the d-spacing value in
Å.

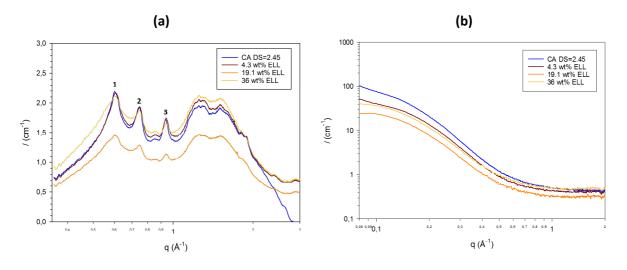


Figure 6: (a) WAXS and (b) SAXS profiles, CA/ELL blends

Fig. 6 corresponds to SAXS and WAXS profiles for CA / ELL blends. For these systems, in WAXS profiles, we can clearly see that crystallinity is preserved with the addition of ELL. The three characteristic crystalline peaks of unplasticized cellulose acetate can be identified. We can observe them at d-spacings of d_1 =10.5, d_2 =8.4 and d_3 =6.8 Å and their values are in agreement with literature values (Fawcett et al., 2013; Yu BAO, 2015). Nonetheless, the intensity of the peaks decreases with the increasing ELL content. SAXS curves also present the same profile, undoubtedly inherited from the presence of the crystallites.

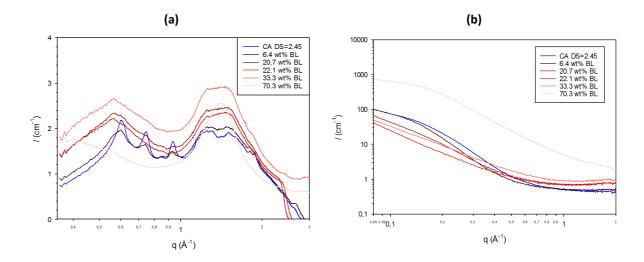


Figure 7 : (a) WAXS and (b) SAXS profiles, CA/BL blends

236

237 WAXS profiles of CA / BL systems (Fig. 7a) show an assignment of crystallinity according to the 238 composition of the blend. At BL contents larger than 20 wt%, the crystallinity is no longer visible. The 239 SAXS analysis (Fig. 7b) permits to highlight the evolution of the diffusion with blend composition. In

other words, the plasticizer has affected the structure. As seen previously, beyond 20 wt% of BL, the crystallinity was no longer observed. It may be hypothesized that the response of the amorphous phase is examined. Beyond 33 wt% the slope is affected. This suggests a more open molecular organization due to the presence of plasticizer. At 70 wt% of BL, a change appears in the profile. The hypothesis can be made that after a relatively high BL content, it acts as a solvent for the CA. The structure seems diluted.

246 It appears that ELL is a less active solvent than BL. We suggest that this phenomenon should be 247 attributed to the lack of compatibility between ELL / CA, hence the ELL being rejected by the 248 crystalline phase in the amorphous phase. Therefore the crystalline phase is not affected.

249

250 **3.4 Blends behaviour and miscibility**

251 Five different regions have been suggested during the cellulose acetate plasticization process with 252 the increasing content of plasticizers as shown in a schematic drawing (Fig. 8). Pure CA was described 253 as a polymer formed of short and long chains with a low degree of crystallinity (Bao et al., 2015). At 254 low plasticizer content (region n°1), lactate molecules are mixed homogeneously with CA. The polymer amorphous phase is plasticized by the plasticizer. It leads to a decrease in Tg and glassy 255 256 plateau modulus of the blend with the increasing plasticizer content. Then when the plasticizer 257 content increases (region n°2), a portion of the plasticizer regroups to create a plasticizer-rich phase 258 containing short CA chains (Spurlin, Martin, & Tennent, 1946). At these compositions, blends are not 259 totally miscible. There is a plateau for the main transition of the CA-rich phase and for the glassy 260 zone modulus. This assumes that in this phase, the plasticizer rate does not increase or only 261 marginally.

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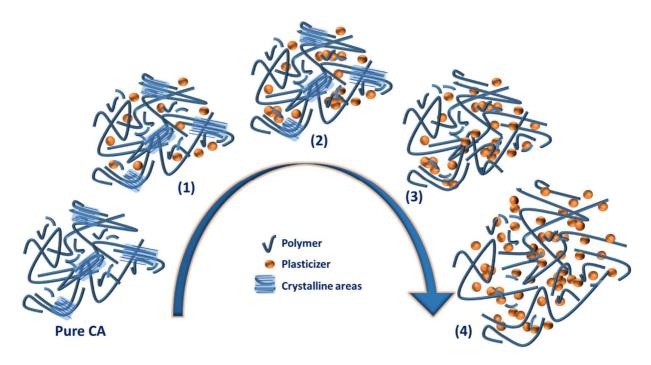


Figure 8: Schematic representation of the behaviour of cellulose acetate with the increasing plasticizer content.



266 With a higher plasticizer content, a modulus drop was observed and X-ray diffraction analyses have 267 shown a disappearance of crystallinity and more spacing between CA chains, which indicates that the 268 molecular mobility of the CA-rich phase is affected by the plasticizer (region n°3). In a mixture with 269 even more plasticizer (region n°4), the behaviour of the blend is totally different. Short and long CA 270 chains have been dissolved by plasticizer. The structure of the mixture is significantly more open, the 271 plasticizer governs the molecular mobility. A possible interpretation for this behaviour is that the plasticizer-rich phase, which is scattered in the CA-rich phase, has developed enough to break 272 intermolecular H-bonding. These hydrogen bonds may be at the origin of a closer molecular 273 274 organization. A high threshold of plasticizer could eliminate them.

275

4. Conclusions

The dynamical properties of plasticized cellulose acetate have been examined by dynamical mechanical analysis, structural properties and crystallinity were investigated by X-ray diffraction for a more detailed description of blends. For octanoic acid, a decrease of the glass transition temperature followed by an unmixing of the system, as a function of plasticizer content, was observed. Although it is not a good plasticizer at high content, at low concentration 10 wt% allowed a drop in Tg of approximately 150 °C. Through the correlation between DMA and WAXS/SAXS results, the 283 plasticizing effect of lactates on cellulose acetate were studied in detail. We interpreted data and 284 with the help of the literature, it allowed us to highlight mechanisms regarding miscibility and phase 285 separation in the strongly H-bonded of cellulose acetate blends with the increasing plasticizer 286 content. With low lactates in blends, only one relaxation transition was detected by DMA, it was 287 identified as α relaxation. A decrease of this glass relaxation, as a function of plasticizer content was 288 observed, CA/lactates blends are miscible. From 15 wt% of lactates above the miscibility limit, tow 289 relaxations were detected, introducing the concept of CA-rich phase and plasticizer-rich phase. With 290 an increasing amount of lactates, CA/lactates blends became amorphous. The plasticizer affect the 291 structure that it cannot affected previously, between 20 and 40 wt% of lactates, a glassy modulus 292 drop of approximately 1500 MPa was observed. Systems with high lactate content were strongly 293 affected by the plasticizer-rich phase, CA-rich phase and plasticizer-rich phase transitions are closer 294 indicating the disappearance of intermolecular interactions. These three green solvents are good 295 candidates for making plasticized cellulose acetate by extrusion because they provide a decrease in 296 Tg, crystallinity and glassy modulus. Nevertheless octanoic acid need to be used under 30 wt%. The 297 description of these systems need further examination for understanding the chemical mechanisms 298 regarding miscibility and phase separation.

299

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