First-principles predicted low-energy structures of $\text{NaSc}(\text{BH}_4)(4)$

H. D. Tran, M. Amsler, Silvana Botti, Miguel A. L. Marques, S. Goedecker

► To cite this version:

H. D. Tran, M. Amsler, Silvana Botti, Miguel A. L. Marques, S. Goedecker. First-principles predicted low-energy structures of $\text{NaSc}(\text{BH}_4)(4)$. *Journal of Chemical Physics*, 2014, 140, pp.124708. 10.1063/1.4869194 . hal-02309437

HAL Id: hal-02309437

<https://univ-lyon1.hal.science/hal-02309437>

Submitted on 4 Feb 2021

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

First-principles predicted low-energy structures of NaSc(BH₄)₄

Huan Doan Tran, Maximilian Amsler, Silvana Botti, Miguel A. L. Marques, and Stefan Goedecker

Citation: *The Journal of Chemical Physics* **140**, 124708 (2014); doi: 10.1063/1.4869194

View online: <http://dx.doi.org/10.1063/1.4869194>

View Table of Contents: <http://scitation.aip.org/content/aip/journal/jcp/140/12?ver=pdfcov>

Published by the [AIP Publishing](#)

Articles you may be interested in

[Magnetic and magnetocaloric properties of La_{0.85}\(Na_{1-x}K_x\)_{0.15}MnO₃ ceramics produced by reactive spark plasma sintering](#)

J. Appl. Phys. **115**, 17A917 (2014); 10.1063/1.4864138

[Validation of the reaction thermodynamics associated with NaSc\(BH₄\)₄ from first-principles calculations: Detecting metastable paths and identifying the minimum free energy path](#)

J. Chem. Phys. **137**, 084111 (2012); 10.1063/1.4748262

[Highpressure phases of NaAlH₄ from first principles](#)

Appl. Phys. Lett. **100**, 061905 (2012); 10.1063/1.3682317

[Structural, electronic, and optical properties of crystalline iodoform under high pressure: A first-principles study](#)

J. Chem. Phys. **134**, 034508 (2011); 10.1063/1.3528728

[Crystal structure prediction of LiBeH₃ using ab initio total-energy calculations and evolutionary simulations](#)

J. Chem. Phys. **129**, 234105 (2008); 10.1063/1.3021079

Re-register for Table of Content Alerts

Create a profile.

Sign up today!

First-principles predicted low-energy structures of NaSc(BH₄)₄

Huan Doan Tran,^{1,a)} Maximilian Amsler,¹ Silvana Botti,² Miguel A. L. Marques,² and Stefan Goedecker^{1,b)}

¹Department of Physics, Universität Basel, Klingelbergstrasse 82, 4056 Basel, Switzerland

²Institut Lumière Matière and ETSF, UMR5306 Université Lyon 1-CNRS, Université de Lyon, F-69622 Villeurbanne Cedex, France

(Received 23 December 2013; accepted 11 March 2014; published online 28 March 2014)

According to previous interpretations of experimental data, sodium-scandium double-cation borohydride NaSc(BH₄)₄ crystallizes in the crystallographic space group *Cmcm* where each sodium (scandium) atom is surrounded by six scandium (sodium) atoms. A careful investigation of this phase based on *ab initio* calculations indicates that the structure is dynamically unstable and gives rise to an energetically and dynamically more favorable phase with *C222*₁ symmetry and nearly identical x-ray diffraction pattern. By additionally performing extensive structural searches with the minimization method we discover a class of new low-energy structures exhibiting a novel structural motif in which each sodium (scandium) atom is surrounded by four scandium (sodium) atoms arranged at the corners of either a rectangle with nearly equal sides or a tetrahedron. These new phases are all predicted to be insulators with band gaps of 7.9–8.2 eV. Finally, we estimate the influence of these structures on the hydrogen-storage performance of NaSc(BH₄)₄. © 2014 AIP Publishing LLC. [<http://dx.doi.org/10.1063/1.4869194>]

I. INTRODUCTION

The imminent shortage of fossil resources has sparked an intense search for alternative energy sources in the last decades, and hydrogen has been considered as a promising candidate due to its clean reaction with oxygen. However, engineering suitable solid hydrogen storage media with high energy density and appropriate hydrogenation/dehydrogenation properties has proven to be a challenging task. Aside from simple metal hydrides like MgH₂, LiH₂, or LiAlH₄, other materials have been recently proposed with increasing complexity. The successful synthesis of double-cation borohydrides such as Li/K,¹ Li/Ca,² Li/Sc,³ K/Sc,⁴ Na/Al,⁵ K/Mn and K/Mg,⁶ K/Y,⁷ Li/Zn, Na/Zn, and K/Zn^{8–10} borohydrides has drawn much attention as potential candidates for hydrogen-storage.¹¹

NaSc(BH₄)₄, a double-cation borohydride of sodium and scandium which contains 12.67 wt.% hydrogen, was recently synthesized¹² by ball milling of NaBH₄ and ScCl₃:

Below the melting temperature ($\simeq 410$ K), NaSc(BH₄)₄ was experimentally determined to belong to the *Cmcm* crystallographic space group (no. 63).¹² In this phase, NaSc(BH₄)₄ is an ionic crystal of which each Na⁺ cation is octahedrally coordinated to six [Sc(BH₄)₄][−] complex anions. The scandium atom of each [Sc(BH₄)₄][−] complex is surrounded by four [BH₄] tetrahedra, forming a nearly ideal tetrahedron with a Sc–B distance between 2.27 Å and 2.50 Å. Above 410 K,

NaSc(BH₄)₄ decomposes while releasing hydrogen in two rapid steps between 440 K and 490 K, and between 495 K and 540 K, respectively.¹² Details on these decomposition steps have not been experimentally determined yet.¹²

Soon after the experimental synthesis of NaSc(BH₄)₄, several theoretical studies were carried out. Huang *et al.*¹³ reported that the *Cmcm* phase of NaSc(BH₄)₄ is an insulator with a band gap of $E_g = 5.055$ eV. The following single-step decomposition reaction with a release of 9.3 wt.% hydrogen gas was theoretically predicted by Kim^{14,15} to maximize the gain of Landau free energy:

The pressure P_{H_2} at which this hypothetical reaction occurs is related to the temperature T through^{16,17}

$$\frac{P_{\text{H}_2}}{P_0} = \exp\left[-\frac{\Delta G(T)}{RT}\right], \quad (3)$$

where $\Delta G(T)$ is the Gibbs free energy change of the reaction (2) at T , $P_0 = 1$ atm, and R is the gas constant. The entropic contribution from the hydrogen (gas) product to $\Delta G(T)$ is determined by the Shomate equation for which the coefficients can be taken from the database of the National Institute of Standards and Technology (NIST).¹⁸ Other contributions to $\Delta G(T)$ can be calculated at the density functional theory (DFT)^{19,20} level. Kim predicted^{14,15} that, at $P_{\text{H}_2} = 100$ bars, the proposed reaction (2) occurs at temperatures between 14 K and 223 K. The wide range of the predicted temperature T is due to the DFT uncertainty in calculating $\Delta G(T)$ and comparing with the measured data. Although the temperature calculated for the hypothetical reaction (2) strongly differs from the experimental decomposition temperature (440–540 K),¹²

^{a)}Present address: Institute of Materials Science, University of Connecticut, 97 North Eagleville Rd., Unit 3136, Storrs, Connecticut 06269-3136, USA. Electronic mail: huan.tran@uconn.edu

^{b)}Electronic mail: stefan.goedecker@unibas.ch

relation (3) indicates that at a given temperature T , the required H_2 pressure P_{H_2} is sensitive to the free energy change $\Delta G(T)$ since RT is small (at the room temperature $T = 298$ K, $RT \simeq 2.5$ kJ/mol H_2). Therefore, a change in P_{H_2} may be expected for a new low-energy phase of $NaSc(BH_4)_4$ even with a small difference in energy with respect to the $Cmcm$ phase.

In this work we carefully study the $Cmcm$ structure of $NaSc(BH_4)_4$ with *ab initio* calculations, showing that it is dynamically unstable. By following the imaginary frequency phonon modes, a dynamically stable structure with $C22_1$ symmetry is predicted. Furthermore, we report a class of new low-energy structures predicted by the minima-hopping method^{21,22} (MHM) for this material. Finally we discuss the influence of small changes in the free energy $\Delta G(T)$ of these new structures on the hydrogen pressure P_{H_2} in reaction (2).

II. COMPUTATIONAL METHODS

We used the projector augmented wave formalism²³ as implemented in the *Vienna Ab Initio Simulation Package* (VASP)^{24–27} to perform all the DFT-based first-principles calculations. The valence electron configurations of sodium, scandium, boron, and hydrogen were $2p^63s^1$, $3s^23p^64s^23d^1$, $2s^22p^1$, and $1s^1$, respectively. The DFT total energy E_{DFT} was calculated with Monkhorst-Pack k -point meshes²⁸ with sizes of either $5 \times 5 \times 5$ or $7 \times 7 \times 7$, depending on the volume of the simulation cell, and a plane wave kinetic energy of 450 eV. The convergence of electronic self-consistent (SC) calculations is assumed when the total energy change between two consecutive steps is smaller than 10^{-6} eV. Atomic and cell variables were simultaneously relaxed until the residual forces were smaller than 10^{-2} eV/Å.

For systematically exploring the low-energy landscape corresponding to $NaSc(BH_4)_4$, we employed the minima-hopping (MH) method.^{21,22} Being needed by the MH algorithm, the total energies E_{DFT} , the forces, and the stresses of the examined structures are calculated at the DFT level by VASP in this work. They are then used to perform a number of consecutive short molecular dynamics steps, driving the system out of the current minimum at which the system is trapped. At the end of the molecular dynamics stage, the obtained structure is optimized by ordinary (local) geometry relaxations until the convergence criteria described above are met. By choosing the initial velocities of the molecular dynamics trajectories approximately along soft mode directions, the likelihood of escaping the current minimum and landing at a lower-energy minimum can be significantly improved.²¹ In addition, several build-in feedback mechanisms are implemented, minimizing the possibility of revisiting the already explored minima and enhancing the efficiency of the method.^{21,22} Because the MH method requires no constraint, unknown structural motifs can be explored. The reliability of this method was shown in many applications that were recently reported.^{29–38}

The super-cell approach implemented in PHONOPY^{39,40} was used to analyze the phonon frequency spectrum and investigate the dynamical stability of the structures examined. In this approach, the phonon frequencies were calculated from the dynamical matrix of which the force constants were eval-

uated in VASP. Sufficiently high convergent criteria are required for these force calculations. In particular, the electronic SC loops are terminated when two consecutive steps differ by less than 10^{-8} eV in energy, while the residual forces on the atoms have to be smaller than 10^{-4} eV/Å. The longitudinal optical/transverse optical (LO/TO) splitting was not taken into account because its effects on dynamical properties were reported to be negligible for a wide variety of hydrides.^{41,42}

III. EFFECTS OF EXCHANGE-CORRELATION FUNCTIONALS AND VDW INTERACTIONS

It is well established that the lack of long-range van der Waals (vdW) interactions in DFT, in many cases, may significantly affect its accuracy when investigating soft matter and molecular crystals. Studying magnesium borohydride $Mg(BH_4)_2$, Bil *et al.*⁴³ pointed out that DFT calculations with the Perdew-Burke-Ernzerhof (PBE) exchange-correlation (XC) functional artificially favors structures with unusually low densities.^{44,45} The reason for this observation can probably be traced back to the exceptionally complicated experimental structure of $Mg(BH_4)_2$ which has 330 atoms per cell and is composed of several *neutral* sub-structures (frameworks) held together by dispersion interactions.^{46,47} Because conventional DFT calculations do not well capture these interactions, a non-local density functional such as vdW-DF^{48–50} was suggested⁴³ to be more suitable. Similarly, the experimental structures of some double-cation borohydrides studied in Ref. 51, e.g., $LiZn_2(BH_4)_5$, $NaZn(BH_4)_3$, and $NaZn_2(BH_4)_5$, are composed of two neutral, inter-penetrated frameworks.⁸ For these materials, PBE calculations predict very low-density structures to be thermodynamically stable while, at the same time, produce large deviations when optimizing their complicated experimental structures.⁵¹ By taking into account the vdW interactions via the non-local density functional vdW-DF2,⁵² the optimized geometries agree better with the experimental data. It is worth noting that for the borohydrides with simple ionic crystalline structures, e.g., $LiBH_4$, $NaBH_4$, and KBH_4 , the calculated results with vdW-DF2 are not always better than those with PBE,⁵¹ indicating that, presumably, the dispersion interactions play a minor role in the crystalline materials dominated by ionic and covalent bonds. Clearly, the performance of a vdW treatment, e.g., vdW-DF2, is material-dependent, i.e., it depends on the nature of the interactions in the materials examined.

For $NaSc(BH_4)_4$, we have tested our calculations with the available vdW methods and the commonly used XC functionals implemented in VASP by fully optimizing the $Cmcm$ structure and studying ΔV , the differences of the optimized cell volume V , with respect to the experimental value. The best results are summarized in Table I, while the full data set is given in the supplementary material.⁵³ For vdW-DF2, $\Delta V = -6.0\%$, while for PBE and Perdew-Wang (PW91) XC functionals, ΔV are much smaller and almost equal (-0.8% with PBE and -1.1% with PW91). Our calculations also indicate that the unit cell of the $Cmcm$ structure was strongly distorted with $\Delta V = -9.0\%$ when employing the PBEsol functional⁵⁴ and with $\Delta V = -17.3\%$ when the local density approximation (LDA) is used. Two other implementations

TABLE I. Structural parameters from the DFT optimization of the $Cmcm$ structure (left) and $C222_1$ structure (right) of $\text{NaSc}(\text{BH}_4)_4$, calculated with and without vdW interactions and given in Å. The differences Δ between the calculated results and the experimental data are given in %. The space group of the structure was not changed by the optimization. Experimental data are taken from Ref. 12.

	PW91		PBE		vdW-DF2		PW91		PBE		vdW-DF2		Expt.
	DFT	$\Delta(\%)$	DFT	$\Delta(\%)$	DFT	$\Delta(\%)$	DFT	$\Delta(\%)$	DFT	$\Delta(\%)$	DFT	$\Delta(\%)$	
a (Å)	8.109	-0.7	8.122	-0.6	8.088	-1.0	8.312	1.7	8.318	1.8	8.131	-0.4	8.170
b (Å)	11.900	0.2	11.904	0.2	11.765	-0.9	11.821	-0.5	11.827	-0.4	11.720	-1.3	11.875
c (Å)	8.967	-0.6	8.973	-0.5	8.640	-4.2	9.111	1.0	9.117	1.1	8.628	-4.3	9.018
V (Å ³)	865.4	-1.1	867.6	-0.8	822.2	-6.0	895.2	2.3	896.9	2.5	822.2	-6.0	874.9

of the vdW, i.e., DFT-D2⁵⁵ and Tkatchenko-Scheffler method (DFT-TS)^{56,57} are known^{57,58} to significantly underestimate the lattice parameters of ionic crystals. We actually obtained very large reductions of the unit cell volume with $\Delta V = -17.5\%$ for DFT-D2 and $\Delta V = -16.5\%$ for DFT-TS.

The relatively large volume change $\Delta V = -6.0\%$ obtained with vdW-DF2, five times larger than the very good (small) values of ΔV obtained by PBE and PW91, may indicate the minor role of the dispersion interactions in $\text{NaSc}(\text{BH}_4)_4$. To further examine this marginal case, various characteristic distances and angles of the $Cmcm$ structure optimized with PW91, PBE, and vdW-DF2 were compared with the experimental data.⁵³ The optimized geometries of the complex $[\text{Sc}(\text{BH}_4)_4]^-$ anions are almost the same and agree very well with the experimental data, suggesting that the short-range interactions are well captured by our calculations. The long distances between any Na^+ cation and the six surrounding $[\text{Sc}(\text{BH}_4)_4]^-$ anions (the distance between Na and Sc were actually measured) are however *isotropically* reduced by $\simeq 2\%$ in the structure optimized with vdW-DF2, indicating that in this ionic crystalline structure, the long-range interactions are systematically overestimated.⁵³ As the calculations with PBE and PW91 reduce the Na-Sc lengths by no more than 0.7% without changing the relevant angles, these functionals are clearly more favorable than vdW-DF2. Although PBE was used throughout this work, results with vdW-DF2 are also carefully referred when necessary.

IV. LOW-ENERGY STRUCTURES OF SODIUM/SCANDIUM BOROHYDRIDE

A. The $Cmcm$ and $C222_1$ structures

We performed phonon calculations for the $Cmcm$ structure and show the result in the top panel of Fig. 1. We found that, at the DFT level, the $Cmcm$ phase is dynamically unstable with three imaginary phonon modes emerging at the Γ point, two of them have large imaginary frequencies, roughly $3i$ THz, throughout the whole Brillouin zone. One of these two modes describes the instability of the Na atomic positions, which are the inversion centers, and the other indicates that the BH_4 tetrahedra must also be stabilized. By following these modes, the BH_4 tetrahedra are slightly rearranged while the centrosymmetry is broken by driving the Na atoms out of the inversion centers. The lowest possible structure obtained by this process belongs to the $C222_1$ crystallographic space group (no. 20) and is lower in energy

than the $Cmcm$ structure by $3.8 \text{ kJ mol}^{-1} \text{ f.u.}^{-1}$. We note that although comparing with experimental data, DFT calculations for the free energy change of a reaction may suffer an uncertainty of $\sim 10 \text{ kJ/mol}$,¹⁴⁻¹⁷ such a certainty is expected to be canceled out when E_{DFT} calculated at the same computational conditions for similar structures are compared. Therefore, the energetic ordering of the structures examined throughout this work, specifically $Cmcm$ and $C222_1$, can be determined with essentially no uncertainty. We then calculated the phonon band structure of the $C222_1$ structure and showed it in the bottom panel of Fig. 1. Within an errorbar of $\sim 0.3 \text{ THz}$ due to the translational invariance breaking in XC energies calculations,^{45,59} the $C222_1$ structure is found to be dynamically stable. We illustrate the transformation from

FIG. 1. Phonon band structures of the $Cmcm$ (top panel) and $C222_1$ (bottom panel) structures of $\text{NaSc}(\text{BH}_4)_4$. Three imaginary phonon modes of the $Cmcm$ structure are shown in red. For convenience, bands with imaginary frequencies are shown in this figure as those with *negative* frequencies of the same module. Dotted lines indicate the lower bound corresponding to the errorbar of $\sim 0.3 \text{ THz}$ due to the numerically unresolved translational invariance in calculations of the XC energies.^{45,59}

FIG. 2. Top view (along the z axis) of the Cmc and $C222_1$ structures of $\text{NaSc}(\text{BH}_4)_2$. Yellow and purple spheres represent sodium and scandium atoms. Red arrows indicate schematically the eigenvector of the unstable mode following which the centrosymmetry of the Cmc structure is broken, and the $C222_1$ structure is formed.

the Cmc phase to the $C222_1$ phase in Fig. 2, while detailed information of the $C222_1$ structure is given in the supplementary material.⁵³

To further examine this conclusion, we performed additional phonon calculations for the Cmc and $C222_1$ structures with vdW-DF2 and LDA, giving the phonon band structures shown in the supplementary material.⁵³ We found that the vdW-DF2 and LDA results agree well with the PBE results. In particular, the Cmc structure is dynamically unstable, the $C222_1$ structure is dynamically stable, and the obtained phonon band structures are very similar to those obtained with PBE (see Figs. 3 and 4 and the supplementary material⁵³). In addition, the $C222_1$ structure is energetically more stable than the Cmc structure by $1.3 \text{ kJ mol}^{-1} \text{ f.u.}^{-1}$ with vdW-DF2 and by $0.6 \text{ kJ mol}^{-1} \text{ f.u.}^{-1}$ with LDA. It is worth to emphasize that in the calculations of phonon band structures at the level of density functional theory, specifically by the direct method,^{39,40} energy and forces are evaluated for structures with very small perturbations (at order of $0.01 \text{ \AA}$), implying a high degree of error cancellation. Very often, the dynamical stabilities determined by first-principle calculations for various crystalline materials were found to be in excellent agreement with experiments.^{60,61} In this work, that the results obtained with PBE, vdW-DF2, and LDA are highly consistent indicates that the stabilities of the Cmc and $C222_1$ structures were correctly determined.

In Ref. 12, a powder x-ray diffraction (XRD) pattern was measured for a mixture of $\text{NaSc}(\text{BH}_4)_4$ and Na_3ScCl_6 , according to the synthesis reaction (1). To further analyze the $C222_1$ structure, we used the FULLPROF package⁶² to calculate the XRD patterns of the Cmc and $C222_1$ structures of $\text{NaSc}(\text{BH}_4)_4$ and the $P2_1/c$ structure of Na_3ScCl_6 . The simulated XRD patterns are shown in Fig. 3, demonstrating that the $C222_1$ and Cmc structures equally explain the available experimental XRD data. In particular, although the experimental XRD pattern is dominated by peaks of Na_3ScCl_6 as mentioned by Ref. 12, the simulated XRD patterns of the Cmc and $C222_1$ structures are nearly identical and resolve all the major peaks identified to belong to $\text{NaSc}(\text{BH}_4)_4$.

Surprisingly, the $C222_1$ structure was already considered in Ref. 12 as a candidate for the low-energy structure of

FIG. 3. Powder XRD patterns of the $P2_1/c$ structure of Na_3ScCl_6 and the Cmc and $C222_1$ structures of $\text{NaSc}(\text{BH}_4)_4$ which were simulated at the wavelength $0.66863 \text{ \AA}$. The experimental XRD pattern of the mixture of $\text{NaSc}(\text{BH}_4)_4$ and Na_3ScCl_6 , which was measured at the same wavelength by Ref. 12, is also shown.

$\text{NaSc}(\text{BH}_4)_4$ before being superseded by the Cmc structure. The first order phase transition from Cmc to $C222_1$ by following the soft modes breaks the centrosymmetry, leaving the overall structural motif and atomic coordination intact. The unit cell volume of the $C222_1$ structure is $897 \text{ \AA}^3$, which is 2.5% larger than that of the Cmc structure ($875 \text{ \AA}^3$). We show in Table I the optimized cell parameters of the $C222_1$ structure with PBE, PW91, and vdW-DF2, revealing a good agreement between the PBE and PW91 results with the experimental data. In summary, we can confidently conclude that the $C222_1$ is in fact the experimentally observed structure of $\text{NaSc}(\text{BH}_4)_4$.

B. Minima-hopping predicted low-energy structures

Aside from the phonon-mode-following approach, we conducted unconstrained, systematic structural searches with several MHM simulations for one and two formula units (22 or 44 atoms) per simulation cell to explore the low energy configurations of $\text{NaSc}(\text{BH}_4)_4$. Thereby we discovered a new class of structures with a different structural motif compared to the $C222_1$ phase. Four new structures of this class with $C2$ (no. 5), Cc (no. 9), $P1$ (no. 1), and $I222$ (no. 23) symmetry were found to have lower total energy $E_{\text{DFT}}^{\text{PBE}}$ than the Cmc phase (see Table II), while only three of them ($C2$, Cc , and

TABLE II. Summary of the low-energy structures of $\text{NaSc}(\text{BH}_4)_4$. DFT energy $E_{\text{DFT}}^{\text{PBE}}$, $E_{\text{DFT}}^{\text{vdW}}$, and free energy F_T at temperature T are given in $\text{kJ mol}^{-1} \text{ f.u.}^{-1}$ with respect to the $C222_1$ structure. Energy band gap E_g^{GW} is given in units of eV while the minimum H_2 pressure $P_{\text{H}_2}^{\text{min}}$ (298 K) is given in bars.

Structure	$E_{\text{DFT}}^{\text{PBE}}$	$F_{0\text{K}}$	$F_{298\text{K}}$	$E_{\text{DFT}}^{\text{vdW}}$	E_g^{GW}	$P_{\text{H}_2}^{\text{min}}$ (298 K)
$C2$ (5)	-5.07	-4.06	-4.99	4.75	8.17	546
Cc (9)	-4.84	-3.73	-4.67	5.27	8.20	558
$P1$ (1)	-1.14	-0.54	-0.97	4.05	7.94	720
$C222_1$ (20)	0.00	0.00	0.00	0.00	7.85	770
$I222$ (23)	1.44	1.56	0.83	4.08	7.91	815
Cmc (63)	3.80	...	...	1.35	8.10	1000

FIG. 4. Coordination of sodium atoms (left) and the geometry of $[\text{Sc}(\text{BH}_4)_4]^-$ complex anion (right) in the novel structural motifs of the low-energy $\text{NaSc}(\text{BH}_4)_4$ phases. Yellow, purple, green, and pink spheres represent sodium, scandium, boron, and hydrogen atoms.

P1) are thermodynamically more stable than the *C222*₁ structure by up to $5.07 \text{ kJ mol}^{-1} \text{ f.u.}^{-1}$. Calculations for the total energy $E_{\text{DFT}}^{\text{vdW}}$ with vdW-DF2, however, indicate that these new structures are less stable than the *C222*₁ structure by up to $5.27 \text{ kJ mol}^{-1} \text{ f.u.}^{-1}$. As discussed in Sec. III, we believe that calculations with vdW-DF2 overestimate the long-range interactions in $\text{NaSc}(\text{BH}_4)_4$, and this could be the reason for these small energy differences. Treating this result with caution, we are able to conclude that the predicted structures are energetically competing with the experimentally synthesized *C222*₁ structure of $\text{NaSc}(\text{BH}_4)_4$.

The predicted structures are similar to the *C222*₁ structure in the geometry of the $[\text{Sc}(\text{BH}_4)_4]^-$ complex anions (see Fig. 4). On the other hand, as shown in Figs. 4 and 5, the Na-Sc frameworks of the new structures and the *C222*₁ structure are completely different. In the novel structural motif, each Na (Sc) atom is coordinated to four Sc (Na) atoms at

a distance of $\simeq 5 \text{ \AA}$. All four-fold coordinated atoms are arranged at the corners of either a rectangle with nearly equal sides or a tetrahedron, leading to two types of Na-Sc “bonds,” either arranged on the planes of the rectangles mentioned above or interlinking them (see Fig. 4). The Na-Sc planes are stacked in parallel planes and separated by a distance of $\simeq 6.1 \text{ \AA}$. Viewed along these Na-Sc planes, the new structural motif is characterized by hexagons which have two parallel sides placed on the planes. The lengths of these parallel sides are l_0 , $2l_0$, and $3l_0$ for the *C2*, *Cc*, and *P1* structures (here, $l_0 \simeq 3.2 \text{ \AA}$ is the Na-Sc separation in the direction perpendicular to the line of view—see Fig. 5). For the *I222* structure, this length is infinite since it is composed solely of the parallel Na-Sc planes.

A comparison of the XRD patterns of the new structures with both the *C222*₁ and *Cmcm* phases clearly shows that the structures are distinct. All new structures are dynamically stable with no imaginary phonons in the whole Brillouin zone. The structural data, the phonon density of states, and the simulated XRD patterns of the examined structures are given in the supplementary material.⁵³

We examine the stability of the predicted structures at finite temperatures by computing their Helmholtz free energies at the PBE level. In our calculations, vibrational free energies were determined within the harmonic approximation calculated from the phonon frequency spectrum. Fig. 6 indicates that up to the melting temperature ($\simeq 410 \text{ K}$), the *C2*, *Cc*, and *P1* are energetically favorable over the *C222*₁ structure. The *I222* structure, on the other hand, is thermodynamically less stable than the *C222*₁ structure.

FIG. 5. Sodium-scandium framework of the examined low-energy structures of $\text{NaSc}(\text{BH}_4)_4$. Yellow and purple spheres represent sodium and scandium atoms. The new *C2*, *Cc*, *P1*, and *I222* structures are characterized by hexagons which are clearly shown in the figure. These structures are different in the length of the parallel sides, which is l_0 , $2l_0$, $3l_0$, and infinite. Here $l_0 \simeq 3.2 \text{ \AA}$ is the sodium-scandium separation along the direction which is perpendicular to the line of view.

FIG. 6. Helmholtz free energies of the low-energy structures given with respect to the $C222_1$ structure.

The formation of the predicted structures, which are energetically competing with the experimental $C222_1$ phase, may be possible. According to the Ostwald's step rule in crystal nucleation,⁶³ instead of forming the most stable phase directly from a solution, the system crystallizes in a step process where it transforms from less stable phases to phases of higher stability. In particular, the phase transformation would first occur towards a phase that requires the least activation energy. Overall, nucleation and crystallization are complex processes that often depend on the synthesis method. Therefore, there are chances for the predicted structures exist, given that the suitable synthesis method conditions are chosen.

We then examine the electronic structures of the predicted phases of $\text{NaSc}(\text{BH}_4)_4$ by performing GW calculations.⁶⁴ The calculated energy band gaps E_g^{GW} are shown in Table II. We found that the $Cmcm$ and $C222_1$ structures have band gaps of 8.10 eV and 7.85 eV, respectively. By referring to the result by Huang *et al.*,¹³ the GW correction for these structures is roughly 3.0 eV. Similar values for the GW band gaps were also found for the predicted phases.

Several changes in the thermodynamic properties associated with the predicted low-energy structures of $\text{NaSc}(\text{BH}_4)_4$ can be qualitatively estimated. At the room temperature $T = 298$ K, the reaction (2) was predicted^{14,15} to occur at the hydrogen pressure P_{H_2} from 10^3 to 10^7 bars. As the minimum hydrogen pressure $P_{\text{H}_2}^{\text{min}} = 10^3$ bars was predicted for the $Cmcm$ phase, this parameter can be estimated for other low-energy structures of $\text{NaSc}(\text{BH}_4)_4$ assuming that there is no change in the products of the reaction (2). Hence, the change of the Gibbs energy $\Delta G(T)$ is given by the change in the Helmholtz free energies, calculated at the PBE level and shown in Table II. From $P_{\text{H}_2}^{\text{min}}$, predicted for the $Cmcm$ phase by using the relation (3), one arrives at $P_{\text{H}_2}^{\text{min}} = 770$ bars for the $C222_1$ phase. Corresponding to the C2 phase, the $P_{\text{H}_2}^{\text{min}}$ can be reached at 546 bars which is only half as much as the required pressure for the $Cmcm$ phase. Estimated $P_{\text{H}_2}^{\text{min}}$ of other structures are also given in Table II. As discussed in Sec. I, it was also predicted^{14,15} that at $P_{\text{H}_2} = 100$ bars, the proposed reaction (2) occurs at temperatures between 14 K and 223 K if $\text{NaSc}(\text{BH}_4)_4$ is in the $Cmcm$ phase. For the newly proposed phases, these temperatures are expected to change. Although

a quantitative determination requires $\Delta G(T)$ to be fully computed according to Eq. (2), one can qualitatively find from the van't Hoff plots reported^{14,15} that the temperature changes would be positive, i.e., the corresponding reaction temperatures are higher than those predicted for the $Cmcm$ phase, i.e., somewhat closer to the experimentally determined temperature (>400 K).

V. CONCLUSIONS

In summary, we show that the experimentally reported $Cmcm$ structure of $\text{NaSc}(\text{BH}_4)_4$ is dynamically unstable based on first-principles calculations. By exploring the unstable phonon modes, we predict a dynamically stable $C222_1$ structure which is lower in energy than the $Cmcm$ structure by $3.8 \text{ kJ mol}^{-1} \text{ f.u.}^{-1}$ but explains the experimental XRD pattern equally well.

In addition to the $C222_1$ structure, we report a class of four low-energy structures predicted by the MHM for $\text{NaSc}(\text{BH}_4)_4$. These structures exhibit a new structural motif where each sodium (scandium) atom is coordinated to four scandium (sodium) atoms. Below the melting point reported for $\text{NaSc}(\text{BH}_4)_4$, all of these structures are energetically competing with the experimental $C222_1$ phase. According to the empirical Ostwald's step rule, the formation of the predicted structures may be possible. Once realized, the energy differences of these new structures may significantly influence the hydrogen pressure at which the dehydrogenation reaction (2) of $\text{NaSc}(\text{BH}_4)_4$ occurs.

ACKNOWLEDGMENTS

The authors thank Radovan Černý for the experimental XRD data shown in Fig. 3 and many expert discussions. They thank Atsushi Togo, Tomáš Bučko, and Ki Chul Kim for valuable helps and comments. H.D.T., M.A., and S.G. gratefully acknowledge the financial support from the Swiss National Science Foundation. Computational work was performed at the Swiss National Supercomputing Center (CSCS) in Lugano. The space groups of the structures examined in this work were determined by FINDSYM,⁶⁵ while Figs. 2, 4, and 5 were rendered with VESTA.⁶⁶

¹E. A. Nickels, M. O. Jones, W. I. F. David, S. R. Johnson, R. L. Lowton, M. Sommariva, and P. P. Edwards, *Angew. Chem. Int. Ed.* **47**, 2817 (2008).

²Z.-Z. Fang, X.-D. Kang, J.-H. Luo, P. Wang, H.-W. Li, and S.-I. Orimo, *J. Phys. Chem. C* **114**, 22736 (2010).

³H. Hagemann, M. Longhini, J. W. Kaminski, T. A. Wesolowski, R. Černý, N. Penin, M. H. Sørby, B. C. Hauback, G. Severa, and C. M. Jensen, *J. Phys. Chem. A* **112**, 7551 (2008).

⁴R. Černý, D. B. Ravnsbæk, G. Severa, Y. Filinchuk, V. D'Anna, H. Hagemann, D. Haase, J. Skibsted, C. M. Jensen, and T. R. Jensen, *J. Phys. Chem. C* **114**, 19540 (2010).

⁵I. Lindemann, R. D. Ferrer, L. Dunsch, R. Černý, H. Hagemann, V. D'Anna, Y. Filinchuk, L. Schultz, and O. Gutflisch, *Faraday Discuss.* **151**, 231 (2011).

⁶P. Schouwink, V. D'Anna, M. B. Ley, L. M. L. Daku, B. Richter, T. R. Jensen, H. Hagemann, and R. Černý, *J. Phys. Chem. C* **116**, 10829 (2012).

⁷T. Jarón and W. Grochala, *Dalton Trans.* **40**, 12808 (2011).

⁸D. Ravnsbæk, Y. Filinchuk, Y. Cerenius, H. J. Jakobsen, F. Besenbacher, J. Skibsted, and T. R. Jensen, *Angew. Chem. Int. Ed.* **48**, 6659 (2009).

- ⁹R. Černý, K. C. Kim, N. Penin, V. D'Anna, H. Hagemann, and D. S. Sholl, *J. Phys. Chem. C* **114**, 19127 (2010).
- ¹⁰D. B. Ravnsbæk, C. Frommen, D. Reed, Y. Filinchuk, M. Sørby, B. C. Hauback, H. Jakobsen, D. Book, F. Besenbacher, J. Skibsted, and T. Jensen, *J. Alloys Compd.* **509**, S698 (2011).
- ¹¹L. Schlapbach and A. Züttel, *Nature (London)* **414**, 353 (2001).
- ¹²R. Černý, G. Severa, D. B. Ravnsbæk, Y. Filinchuk, V. D'Anna, H. Hagemann, D. Haase, C. M. Jensen, and T. R. Jensen, *J. Phys. Chem. C* **114**, 1357 (2010).
- ¹³L. W. Huang, O. Elkedim, and X. Li, *J. Alloys Compd.* **536**, S546 (2012).
- ¹⁴K. C. Kim, *J. Chem. Phys.* **137**, 084111 (2012).
- ¹⁵K. C. Kim, *Int. J. Quantum Chem.* **113**, 119 (2013).
- ¹⁶S. V. Alapati, J. K. Johnson, and D. S. Sholl, *J. Phys. Chem. C* **111**, 1584 (2007).
- ¹⁷S. V. Alapati, J. K. Johnson, and D. S. Sholl, *Phys. Chem. Chem. Phys.* **9**, 1438 (2007).
- ¹⁸Nist database, see <http://webbook.nist.gov>.
- ¹⁹P. Hohenberg and W. Kohn, *Phys. Rev.* **136**, B864 (1964).
- ²⁰W. Kohn and L. Sham, *Phys. Rev.* **140**, A1133 (1965).
- ²¹S. Goedecker, *J. Chem. Phys.* **120**, 9911 (2004).
- ²²M. Amsler and S. Goedecker, *J. Chem. Phys.* **133**, 224104 (2010).
- ²³P. E. Blöchl, *Phys. Rev. B* **50**, 17953 (1994).
- ²⁴G. Kresse and J. Hafner, *Phys. Rev. B* **47**, 558 (1993).
- ²⁵G. Kresse, "Ab initio Molekular Dynamik für flüssige Metalle," Ph.D. thesis, Technische Universität Wien, 1993.
- ²⁶G. Kresse and J. Furthmüller, *J. Comput. Mater. Sci.* **6**, 15 (1996).
- ²⁷G. Kresse and J. Furthmüller, *Phys. Rev. B* **54**, 11169 (1996).
- ²⁸H. J. Monkhorst and J. D. Pack, *Phys. Rev. B* **13**, 5188 (1976).
- ²⁹W. Hellmann, R. G. Hennig, S. Goedecker, C. J. Umrigar, B. Delley, and T. Lenosky, *Phys. Rev. B* **75**, 085411 (2007).
- ³⁰S. Roy, S. Goedecker, M. J. Field, and E. Penev, *J. Phys. Chem. B* **113**, 7315 (2009).
- ³¹K. Bao, S. Goedecker, K. Koga, F. Lançon, and A. Neelov, *Phys. Rev. B* **79**, 041405 (2009).
- ³²A. Willand, M. Gramzow, S. A. Ghasemi, L. Genovese, T. Deutsch, K. Reuter, and S. Goedecker, *Phys. Rev. B* **81**, 201405 (2010).
- ³³S. De, A. Willand, M. Amsler, P. Pochet, L. Genovese, and S. Goedecker, *Phys. Rev. Lett.* **106**, 225502 (2011).
- ³⁴M. Amsler, J. A. Flores-Livas, L. Lehtovaara, F. Balima, S. A. Ghasemi, D. Machon, S. Pailhès, A. Willand, D. Caliste, S. Botti, A. S. Miguel, S. Goedecker, and M. A. L. Marques, *Phys. Rev. Lett.* **108**, 065501 (2012).
- ³⁵J. A. Flores-Livas, M. Amsler, T. J. Lenosky, L. Lehtovaara, S. Botti, M. A. L. Marques, and S. Goedecker, *Phys. Rev. Lett.* **108**, 117004 (2012).
- ³⁶M. Amsler, J. A. Flores-Livas, T. D. Huan, S. Botti, M. A. L. Marques, and S. Goedecker, *Phys. Rev. Lett.* **108**, 205505 (2012).
- ³⁷T. D. Huan, M. Amsler, V. N. Tuoc, A. Willand, and S. Goedecker, *Phys. Rev. B* **86**, 224110 (2012).
- ³⁸T. D. Huan, M. Amsler, M. A. L. Marques, S. Botti, A. Willand, and S. Goedecker, *Phys. Rev. Lett.* **110**, 135502 (2013).
- ³⁹A. Togo, F. Oba, and I. Tanaka, *Phys. Rev. B* **78**, 134106 (2008).
- ⁴⁰K. Parlinski, Z. Q. Li, and Y. Kawazoe, *Phys. Rev. Lett.* **78**, 4063 (1997).
- ⁴¹L. G. Hector, Jr., J. F. Herbst, W. Wolf, P. Saxe, and G. Kresse, *Phys. Rev. B* **76**, 014121 (2007).
- ⁴²J. F. Herbst, L. G. Hector, Jr., and W. Wolf, *Phys. Rev. B* **82**, 024110 (2010).
- ⁴³A. Bil, B. Kolb, R. Atkinson, D. G. Pettifor, T. Thonhauser, and A. N. Kolmogorov, *Phys. Rev. B* **83**, 224103 (2011).
- ⁴⁴V. Ozolins, E. H. Majzoub, and C. Wolverton, *Phys. Rev. Lett.* **100**, 135501 (2008).
- ⁴⁵J. Voss, J. S. Hummelshøj, Z. Łodziana, and T. Veege, *J. Phys. Condens. Matter* **21**, 012203 (2009).
- ⁴⁶B. Dai, D. S. Sholl, and J. K. Johnson, *J. Phys. Chem. C* **112**, 4391 (2008).
- ⁴⁷Y. Filinchuk, R. Černý, and H. Hagemann, *Chem. Mater.* **21**, 925 (2009).
- ⁴⁸M. Dion, H. Rydberg, E. Schroder, D. C. Langreth, and B. I. Lundqvist, *Phys. Rev. Lett.* **92**, 246401 (2004).
- ⁴⁹T. Thonhauser, V. R. Cooper, S. Li, A. Puzder, P. Hyldgaard, and D. C. Langreth, *Phys. Rev. B* **76**, 125112 (2007).
- ⁵⁰G. Roman-Perez and J. M. Soler, *Phys. Rev. Lett.* **103**, 096102 (2009).
- ⁵¹T. D. Huan, M. Amsler, R. Sabatini, V. N. Tuoc, L. B. Nam, L. M. Woods, N. Marzari, and S. Goedecker, *Phys. Rev. B* **88**, 024108 (2013).
- ⁵²K. Lee, É. D. Murray, L. Kong, B. I. Lundqvist, and D. C. Langreth, *Phys. Rev. B* **82**, 081101(R) (2010).
- ⁵³See the supplementary material at <http://dx.doi.org/10.1063/1.4869194> for additional information reported in this work.
- ⁵⁴J. P. Perdew, A. Ruzsinszky, G. I. Csonka, O. A. Vydrov, G. E. Scuseria, L. A. Constantin, X. Zhou, and K. Burke, *Phys. Rev. Lett.* **100**, 136406 (2008).
- ⁵⁵S. Grimme, *J. Comput. Chem.* **27**, 1787 (2006).
- ⁵⁶A. Tkatchenko and M. Scheffler, *Phys. Rev. Lett.* **102**, 073005 (2009).
- ⁵⁷T. Bučko, S. Lebègue, J. Hafner, and J. G. Ángyán, *Phys. Rev. B* **87**, 064110 (2013).
- ⁵⁸T. Bučko, private communication (2013).
- ⁵⁹In a plane-wave DFT code like vasp, the XC energies are computed on a discrete grid in the real space, thereby breaking the translational invariance and leading to an error of ~ 0.3 THz (10 cm^{-1}) in the phonon frequency. This error is generally larger with GGA than with LDA because GGA functionals vary more strongly in the real space. In this work, phonon spectrum with LDA has no imaginary mode, clearly indicating that the very small imaginary frequencies shown for the $C222_1$ structure in Fig. 1 are originated from the translational symmetry breaking. Discussions (by Stefano de Gironcoli, June 2008) on this problem can be found elsewhere, e.g., in *User's Guide for the PHonon package* (QUANTUM ESPRESSO, VERSION 5.0.2).
- ⁶⁰W. G. Schmidt, F. Bechstedt, and G. P. Srivastava, *Phys. Rev. B* **52**, 2001 (1995).
- ⁶¹T. Yildirim, O. Gülseren, J. W. Lynn, C. M. Brown, T. J. Udovic, Q. Huang, N. Rogado, K. A. Regan, M. A. Hayward, J. S. Slusky, T. He, M. K. Haas, P. Khalifah, K. Inumaru, and R. J. Cava, *Phys. Rev. Lett.* **87**, 037001 (2001).
- ⁶²J. Rodríguez-Carvajal, *Physica B* **192**, 55 (1993).
- ⁶³W. Ostwald, *Z. Phys. Chem.* **22**, 289 (1897).
- ⁶⁴L. Hedin, *Phys. Rev.* **139**, A796 (1965).
- ⁶⁵Findsym, see <http://stokes.byu.edu/findsym.html>.
- ⁶⁶K. Momma and F. Izumi, *J. Appl. Crystallogr.* **41**, 653 (2008).